A frame selective dynamic programming approach for noise robust pitch estimation

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17 I. INTRODUCTION

 A ccurate estimation of pitch is useful in various applications including gender classification^{[1](#page-34-0)}, ¹⁹ emotion recognition^{[2](#page-34-1)}, automatic intonation identification^{[3](#page-34-2)}, automatic music transcription^{[4](#page-34-3)}, ²⁰ query by humming^{[5](#page-34-4)}, speech disorders identification^{[6](#page-34-5)} and source-filter model based speech 21 coding systems^{[7,](#page-34-6)[8](#page-34-7)}. The reliability of these applications depends on the accuracy of the pitch ²² estimation. Typically pitch is considered as the fundamental frequency of the quasi-periodic speech signal perceived by the human auditory system^{[9](#page-35-0)[–13](#page-35-1)}. An accurate pitch estimation for ²⁴ speech signal is non-trivial because – a) speech is not perfectly periodic due to non-stationary ²⁵ variations in the frequency and the amplitude^{[8](#page-34-7)}, b) speech can be noisy, for example, in the ²⁶ case where the distance between the microphone and speaker is large, c) the signal to noise ²⁷ ratio (SNR) can be low^{[14](#page-35-2)}.

 $\text{In the literature, several estimation techniques}^{15-17}$ $\text{In the literature, several estimation techniques}^{15-17}$ $\text{In the literature, several estimation techniques}^{15-17}$ have used the dynamic programming 29 (DP) to impose temporal continuity in the estimated pitch contour^{[12,](#page-35-4)[18](#page-36-1)[–24](#page-36-2)}. Typically, DP ³⁰ based approaches divide the input signal into frames and identify multiple pitch candidates $_{31}$ for every frame. Often, these candidates are associated with a measure of confidence^{[18](#page-36-1)}, ³² referred to as confidence-score. These scores are considered in DP cost function for selecting ³³ the best candidate in a frame, which is declared as the estimated pitch at that frame.

 The manner in which the pitch candidates and their confidence-scores are computed varies across different DP approaches. For example, in neutral network based approaches, probabilistic outputs of pitch candidate states are produced where a typical number of pitch candidates is approximately $68^{22,23}$ $68^{22,23}$ $68^{22,23}$ $68^{22,23}$. Among these, a deep neural network (DNN) based approach is shown to be effective in noisy speech, although it requires a large amount of data for training. In contrast to these data driven approaches, several knowledge based approaches are proposed with fewer number of pitch candidates. The robust algorithm for pitch tracking (RAPT) uses normalized cross correlation function (NCCF) to estimate mul- μ_2 tiple pitch candidates and their confidence-scores^{[20](#page-36-5)}. The yet another algorithm for pitch tracking (YAAPT) also uses NCCF to estimate multiple pitch candidates, but these candi-44 dates are further refined using spectral information^{[25](#page-36-6)}. The algorithm proposed by Ba et al., named BaNa, computes multiple pitch candidates by combining the approaches of harmonic ⁴⁶ ratio and cepstrum analysis^{[26](#page-37-0)}. The algorithm proposed by Gonzalez et al., named PEFA, uses a convoluted normalized periodogram to estimate multiple candidates and then pitch 48 is estimated using DP^{12} DP^{12} DP^{12} . While RAPT and YAAPT have been shown to perform well for clean and telephone channel speech respectively, PEFAC has been shown to perform better in low SNR conditions. However at higher SNRs and clean conditions, PEFAC does not have a satisfactory performance. In this work we propose a frame selective DP (FSDP) approach which works better with few number of pitch candidates in clean as well as in noisy conditions in both high and low SNR conditions. The proposed FSDP exploits speech characteristics in order to estimate pitch using small amount of training data.

 Similar to the pitch candidates, the computation of the confidence-scores plays an impor- tant role in pitch estimation performance for both clean and noisy conditions. For example, RAPT has a robust confidence-score computation associated with each candidate, which could be the reason for it to have a better accuracy in clean case compared to other DP based algorithms. However RAPT involves the selection of many parameters on a training

 ω corpus, causing performance degradation across corpora as well as in noisy conditions^{[12](#page-35-4)}. To improve the performance under noisy conditions, PEFAC introduces another confidence- score computation that uses several parameters heuristically designed during training under noisy conditions.

 Another critical factor for the performance of a DP based method is the weight given to the continuity constraint. While the continuity constraint often helps in correcting pitch halving and doubling errors, a large weight on the continuity constraint might introduce ϵ_{F} errors^{[12,](#page-35-4)[27](#page-37-1)} by not recognizing gradual pitch transitions. Conversely, a weak continuity con- straint may produce undesired fluctuations in the estimated pitch contour. These variations in the pitch estimation using RAPT and PEFAC are illustrated in Figure [1.](#page-5-0) In box 4, the estimated pitch from PEFAC is smoother than the ground truth, which has pitch transitions. This could be due to the strong continuity constraint in the DP. However, in boxes 1 and 2 (RAPT), the inaccurate transitions could be due to relaxed continuity constraint. In box 3, the pitch estimation error occurs due to the absence of the pitch candidate. This could be caused by inaccurate estimation or insufficient pitch candidates. Increasing the number of pitch candidates would require a carefully designed cost function for the DP to result in an accurate pitch contour. Hence, the effectiveness of a DP based approach depends on the degree of the continuity constraint and the accuracy of pitch candidates and their confidence-scores.

 In this work, we propose a technique for computing pitch candidates and their confidence- scores by combining complementary characteristics of two existing methods, namely, sub-⁸² harmonic to harmonic ratio $(SHR)^{10}$ $(SHR)^{10}$ $(SHR)^{10}$ and sawtooth wave inspired pitch estimator $(SWIPE)^9$ $(SWIPE)^9$.

FIG. 1. An illustrative example describing two DP based techniques, namely RAPT and PEFAC – a) spectrogram of an exemplary voiced segment using an FFT of 1024 with frame shift and length of 10ms and 20ms respectively, b) pitch estimation by the RAPT algorithm, c) by the PEFAC algorithm. The erroneous regions in the estimated pitch contour are indicated with black boxes with box number at the top right corner.

 Using these candidates, we employ a DP scheme to provide continuity in the pitch contour ⁸⁴ only in a few selected frames, called DP frames, unlike a typical DP method that works for all frames within a voiced segment. In the remaining non-DP frames, pitch is estimated using a maximal confidence-score criterion. We observe that SHR achieves a significant accuracy in ⁸⁷ the pitch estimation because it uses a good strategy for estimating reliable pitch candidates. However, it only computes two candidates, causing estimation error in cases where the ground truth pitch does not correspond to any of the candidates. We propose an extended candidate estimation strategy based on SHR to increase the number of pitch candidates, such that one of those candidates becomes more likely to correspond to the ground truth pitch. Similarly, we extend the confidence-score computation strategy in SWIPE by exploiting the window dependent properties (hanning window dependent kernel) and speech perception ⁹⁴ and production based properties. The latter includes equivalent rectangular bandwidth ϵ (ERB) frequency scale and decaying spectral envelope $(1/f)$ similar to the glottal pulse spectrum. These confidence-scores are also used to automatically determine the DP and non-DP frames.

 In addition to the proposed FSDP method for pitch estimation, we perform voiced- unvoiced (VuV) classification in each frame using the pitch candidate confidence-scores. Experiments for both pitch estimation and VuV classification are performed using three cor-101 pora: KEELE^{[28](#page-37-2)}, CSLU^{[29](#page-37-3)} and PaulBaghsaw $(PB)^{30}$ $(PB)^{30}$ $(PB)^{30}$ in clean as well as noisy conditions with additive white Gaussian noise in 20, 10, 5 and 0dB SNRs. Gross pitch estimation (GPE)-20 error, root mean squared error (RMSE) and voiced and unvoiced (VuV) classification er- ror are used as the evaluation metrics. We consider RAPT, PEFAC, SHR, and SWIPE as the baseline schemes. For pitch estimation, the proposed FSDP is found to achieve lower GPE-20 and RMSE compared to those of four baseline schemes, when the performance is averaged across all SNR conditions. FSDP performs better than all four baseline schemes for all three corpora in clean and in all SNR conditions, except for PaulBaghsaw corpus at 0dB SNR. For VuV classification, the proposed FSDP performs better than all four baseline schemes for all three corpora in clean as well as all SNR conditions except at 20dB SNR on CSLU corpus, where RAPT has the least VuV error.

¹¹² II. PROPOSED FSDP APPROACH

 The proposed FSDP approach has five stages, shown in Figure [2](#page-8-0) and these stages are described using an exemplary voiced segment shown in Figure [3.](#page-8-1) The first stage computes ¹¹⁵ pitch candidates $(p_t^k, 1 \leq k \leq K)$ at the t-th frame, where K is the total number of pitch 116 candidates. In the second stage the confidence-score $C_t(k)$ associated to each candidate is computed. In the third stage, a VuV decision is taken at each frame based on the 118 confidence-scores $C_t(k)$, and using a support vector machine (SVM) classifier, which was learnt in the training. This VuV decision is used in the fourth and fifth stages. We consider contiguous estimated voiced frames as one estimated voiced segment. Figure [3](#page-8-1) shows the 121 pitch candidates from the first stage for $K = 2$ in an estimated voiced segment. In the fourth stage, all frames in each estimated voiced segment are divided into two sets – DP frames ¹²³ and non-DP frames based on $C_t(k)$, $1 \leq k \leq K$. In Figure [3,](#page-8-1) the pitch candidates of the non-DP and DP frames are shown using red squares and blue diamonds respectively. The fifth stage estimates pitch (magenta line in Figure [3\)](#page-8-1) for both types of frames separately. For the non-DP frames, pitch is estimated using the following maximization criteria:

$$
k^{opt} = \underset{k}{\text{arg max}} C_t(k); \qquad \hat{p}_t = p_t^{k^{opt}} \tag{1}
$$

 127 For the remaining frames, a DP based solution is used which selects one of the K pitch ¹²⁸ candidates in each frame such that the resultant pitch trajectory is maximally smooth within 130 the segment.

¹³¹ It should be noted that the estimated unvoiced frames are not processed in the fourth 132 and fifth stages. However, we use the maximization criteria in (1) to obtain pitch in the

FIG. 2. Block diagram illustrating the steps of the FSDP method

FIG. 3. An illustrative example explaining the proposed FSDP method

¹³³ estimated unvoiced frames so that pitch is predicted in all frames of an utterance. This is ¹³⁴ done to obtain the pitch values at all ground truth voiced frames.

¹³⁵ A. Pitch candidate selection

¹³⁶ Pitch candidates are computed by following the two steps of the SHR method^{[10](#page-35-5)}. In the 137 first step, we define $S_t(f)$ at the t-th frame as:

$$
S_t(f) = \sum_{n=1}^{N} A_t(nf) - A_t \left(\left(n - \frac{1}{2} \right) f \right)
$$
 (2)

138 where $A_t(f)$ is the short time amplitude spectrum at the t-th frame and N is the maximum ¹³⁹ number of harmonics contained in $A_t(f)^{10}$ $A_t(f)^{10}$ $A_t(f)^{10}$. The $S_t(f)$ measures the difference between $_{140}$ amplitude sums at harmonic and at sub-harmonic components of the frequency f. This value ¹⁴¹ is expected to be maximum at the pitch frequency because a typical spectrum of a periodic ¹⁴² signal has high amplitudes at the harmonics of fundamental frequency and low amplitudes ¹⁴³ at the sub-harmonics. In the case of non-periodic signals, for example an unvoiced sound, ¹⁴⁴ the sum of the spectrum at the sub-harmonics would be relatively higher compared to that 145 of a periodic signal and, hence, the $S_t(f)$ might not be as high as that of voiced (periodic) ¹⁴⁶ speech signal.

¹⁴⁷ We observe that for some voiced speech segments the maximum of $S_t(f)$ may not cor-¹⁴⁸ respond to the pitch frequency. Hence, pitch estimation based on a strategy that selects 149 the frequency by maximizing $S_t(f)$ would introduce errors. We observe that most of these ¹⁵⁰ errors are pitch halving and doubling, which are also common source of errors in most of μ_{151} the existing pitch estimation methods^{[19](#page-36-7)}. This suggests that the candidate pitch frequency 152 could be obtained by multiplying frequency corresponding to the highest peak of $S_t(f)$ with ¹⁵³ integer powers of 2.

 154 In the second step, based on the above observation, we compute K pitch candidates as:

$$
p_t^k = \begin{cases} \arg \max_{f} S_t(f) & \text{for } k = \left\lceil \frac{K}{2} \right\rceil \\ \arg \max_{k - \left\lceil \frac{K}{2} \right\rceil} S_t(f) & \text{for } k \neq \left\lceil \frac{K}{2} \right\rceil \\ \max_{p_{t, Left}^k = \left\lceil \frac{K}{2} \right\rceil} S_t(s) & \text{for } k \neq \left\lceil \frac{K}{2} \right\rceil \end{cases} \tag{3}
$$

where p_t^k is k-th pitch candidate at t-th frame for $k \in \{1, 2, ..., K\}$, $\lceil \frac{K}{2} \rceil$ ¹⁵⁵ where p_t^k is k-th pitch candidate at t-th frame for $k \in \{1, 2, ..., K\}$, $\lceil \frac{K}{2} \rceil$ is the small-¹⁵⁶ est integer greater than $\frac{K}{2}$ and $p_{t,Left}^{k-\left\lceil \frac{K}{2} \right\rceil}$ and $p_{t,Right}^{k-\left\lceil \frac{K}{2} \right\rceil}$ are equal to $\left(1-\frac{1}{16}\right)$ = 0.9375 and

 $\left(1+\frac{1}{16}\right) = 1.0625 \text{ times } 2^{k-\left\lceil \frac{K}{2} \right\rceil} p_t^{\left\lceil \frac{K}{2} \right\rceil}$ $t^{\frac{1}{2}}$ respectively. In particular, for $1 \leq k < \lceil \frac{K}{2} \rceil$ ¹⁵⁷ $\left(1+\frac{1}{16}\right) = 1.0625$ times $2^{k-\lfloor \frac{R}{2} \rfloor} p_t^{\lfloor \frac{R}{2} \rfloor}$ respectively. In particular, for $1 \leq k < \lceil \frac{K}{2} \rceil$, p_t^k includes the frequencies around the sub-harmonics (negative integer powers of 2) of $p_t^{\left[\frac{K}{2}\right]}$ ¹⁵⁸ cludes the frequencies around the sub-harmonics (negative integer powers of 2) of $p_t^{1/2}$ that ^{to} fall within the frequency band ranging from $p_{t,Left}^{k-\left\lceil \frac{K}{2} \right\rceil}$ to $p_{t,Right}^{k-\left\lceil \frac{K}{2} \right\rceil}$, based on $\frac{1}{6}$ octave band at α each candidate, which is a linear approximation for the critical bands of the ear^{[31,](#page-37-5)[32](#page-37-6)}. The 1 $\frac{1}{6}$ octave band at $2^{k-\left\lceil \frac{K}{2} \right\rceil} p_t^{\left\lceil \frac{K}{2} \right\rceil}$ $\left[\frac{\frac{K}{2}}{t}\right]$ is equal to $(2^{\frac{1}{6}} - 1) \approx 0.125$ times $2^{k-\lceil \frac{K}{2} \rceil} p_t^{\lceil \frac{K}{2} \rceil}$ 161 $\frac{1}{6}$ octave band at $2^{k-\lfloor \frac{n}{2} \rfloor} p_t^{\lfloor 2 \rfloor}$ is equal to $(2^{\frac{1}{6}}-1) \approx 0.125$ times $2^{k-\lfloor \frac{n}{2} \rfloor} p_t^{\lfloor 2 \rfloor}$, which is equal to $p_{t,Right}^{k-\left\lceil \frac{K}{2} \right\rceil} - p_{t,Leff}^{k-\left\lceil \frac{K}{2} \right\rceil}$. Similarly, for $k > \left\lceil \frac{K}{2} \right\rceil$ ¹⁶² equal to $p_{t,Right}^{k-\lfloor \frac{n}{2} \rfloor} - p_{t,Left}^{k-\lfloor \frac{n}{2} \rfloor}$. Similarly, for $k > \lceil \frac{K}{2} \rceil$, p_t^k includes the frequencies around the harmonics (positive integer powers of 2) of $p_t^{\left[\frac{K}{2}\right]}$ ¹⁶³ harmonics (positive integer powers of 2) of $p_t^{\lfloor 2 \rfloor}$. We do not compute p_t^k beyond the typical $_{164}$ pitch frequency range (50-550Hz). Hence the value of K is upper bounded by the total $_{165}$ number of pitch candidates within the pitch range. The value of K is learnt in the training ¹⁶⁶ stage and is kept fixed for all the frames during the estimation of pitch and VuV decisions.

¹⁶⁷ B. Candidate confidence-score computation

¹⁶⁸ We modify the confidence-score computation steps in SWIPE^{[9](#page-35-0)} and define the confidence-169 score $(C_t(k))$ associated with each pitch candidate as:

$$
C_t(k) = \frac{\sum_{f'} \Phi(p_t^k, f') \sqrt{\Lambda_t(p_t^k, f')} \frac{1}{\sqrt{f'}}}{\left| \Phi^+ (p_t^k, f') \frac{1}{\sqrt{f'}} \right| \left| \sqrt{\Lambda_t(p_t^k, f')} \right|} \tag{4}
$$

¹⁷⁰ where, $\Lambda_t(p_t^k, f')$ is the amplitude spectrum of a windowed speech signal at the t-th frame ¹⁷¹ with frequency index f'. The amplitude spectrum $(\Lambda_t(p_t^k, f'))$ is computed for every pitch ¹⁷² candidate p_t^k using Hanning window of size equal to $\frac{8}{p_t^k}$. $\Phi^+(p_t^k, f')$ is the positive part of the kernel $\Phi(p_t^k, f')^9$ $\Phi(p_t^k, f')^9$, which is defined for every pitch candidate p_t^k with frequency index f' 173

as \sum $i \in \{1\} \cup F$ ¹⁷⁴ as $\sum \Phi_i(p_t^k, f')$ where P is the set of prime numbers and $\Phi_i(p_t^k, f')$ defined as:

$$
\Phi_i\left(p_t^k, f'\right) = \begin{cases}\n\cos\left(2\pi \frac{f'}{p_t^k}\right), & \left|\frac{f'}{p_t^k} - i\right| < \frac{1}{4} \\
\frac{1}{2}\cos\left(2\pi \frac{f'}{p_t^k}\right), & \frac{1}{4} < \left|\frac{f'}{p_t^k} - i\right| < \frac{3}{4} \\
0, & \text{otherwise}\n\end{cases}
$$

¹⁷⁵ As demonstrated by Camacho et al^{[9](#page-35-0)}, the $C_t(k)$ is typically high at the pitch frequency when ¹⁷⁶ the window and the kernel are chosen appropriately.

177 C. VuV decisions estimation

 We consider an SVM based classifier for estimating VuV decisions as a binary classification 179 task. We obtain VuV decisions from the K candidate confidence-scores $C_t(k)$ belonging to each frame as a feature vector. Along with these feature vectors, we use ground truth VuV decisions labeled from the ground truth pitch values to train the SVM. In the labeling procedure, we consider the frames corresponding to zero pitch values as unvoiced and the remaining frames as voiced for all three corpora.

¹⁸⁴ D. Frame selection strategy using nearest neighborhood

185 We observe that due to the mismatch between window and kernel choices, $C_t(k)$ could be high at a pitch candidate different from the correct pitch frequency. Thus, determining pitch frequency by finding the frequency corresponding to the highest confidence-score (SWIPE strategy) may not work uniformly well in all frames. We propose a method to automatically determine the frames (referred to as non-DP frames) where taking the frequency correspond ing to the highest confidence-score would accurately estimate the pitch frequency. In the remaining frames (referred to as DP frames), we use DP for estimating pitch. For DP, confi- dence scores are not used; rather, only pitch candidates are used. This helps in overcoming the errors in the pitch estimated by SWIPE strategy in DP frames. Towards this, in the training stage, we define two groups of pitch candidates – 1) the pitch candidate frequencies 195 lying within $\pm 20\%$ of the ground truth pitch called required pitch candidates (RPCs); 2) other pitch candidates (non RPCs) away from (more than 20%) the ground truth pitch. We refer to the voiced frames corresponding to RPCs with the highest confidence-score as non-DP frames and the remaining voiced frames as DP frames and consider them as ground truth DP and non-DP frames. In order to determine the DP and non-DP frames in testing stage, we propose a frame selection strategy in the following section.

 In the frame selection strategy, each frame of a voiced segment is categorized into either a DP frame or a non-DP frame. For this, we utilize the confidence-score associated with each candidate in developing the frame selection strategy. We use the confidence-scores $_{204}$ of all pitch candidates as K-dimensional feature vector and pose the frame selection as a binary classification problem – non-DP frames as one class and DP frames as another class. 206 The classification is done using the nearest neighborhood (NN) classifier^{[33,](#page-37-7)[34](#page-37-8)} where r-nearest ₂₀₇ neighbors are computed based on the Euclidean distance. The parameter r is learnt during the training phase.

Algorithm 1 Pitch contour estimation algorithm based on DP

1: Initialization: $\mathscr{K} = \{1:K\}, T =$ length of voiced segment

- 2: for each voiced segment do
- 3: Initialization: $D_1(i) = 0 \ \forall i \in \mathcal{K}$
- 4: **for** each frame t from 2 to T do

 $\forall\ \ i\in\mathscr{K}$

5: if $t \in DP$ frames then

$$
D_t(i) = \min_{j \in \mathcal{K}} \left\{ D_{t-1}(j) + \left(p_t^i - p_{t-1}^j \right)^2 \right\}
$$

$$
k_t(i) = \underset{j \in \mathcal{K}}{\arg \min} \left\{ D_{t-1}(j) + \left(p_t^i - p_{t-1}^j \right)^2 \right\}
$$

6: else

$$
k^{opt} = \underset{j \in \mathcal{K}}{\arg \max} \{C_t(j)\}
$$

\n
$$
p_t^i = p_t^{k^{opt}}
$$

\n
$$
D_t(i) = \underset{j \in \mathcal{K}}{\min} \left\{ D_{t-1}(j) + \left(p_t^i - p_{t-1}^j\right)^2 \right\}
$$

\n
$$
k_t(i) = \underset{j \in \mathcal{K}}{\arg \min} \left\{ D_{t-1}(j) + \left(p_t^i - p_{t-1}^j\right)^2 \right\}
$$

$$
7: \hspace{1cm} \textbf{end if}
$$

8: end for

9: Back tracking:
$$
\eta_T = \underset{i \in \mathcal{K}}{\arg \min} \{ D_T(i) \}, \hat{p}_T = p_T^{\eta_T}
$$

10: **for** each frame t from $T - 1$ to 1 do

$$
\eta_t = k_{t+1}(\eta_{t+1})
$$

$$
\hat{p}_t = p_t^{\eta_t}
$$

11: end for

12: end for

²⁰⁹ E. Dynamic programming

²¹⁰ Most of the pitch estimation algorithms are prone to octave errors, in which the estimated ²¹¹ pitch contour has abrupt transitions and differs from the original pitch by a factor of two or $_{212}$ a half^{[12,](#page-35-4)[19](#page-36-7)}. However, realistic pitch contour does not vary such abruptly and pitch variation across frames is, in general, smooth in nature^{[12,](#page-35-4)[19](#page-36-7)[,27](#page-37-1)}. In order to avoid these abrupt jumps ²¹⁴ due to erroneous pitch estimates, we incorporate a temporal continuity constraint to estimate the pitch in the DP frames. The continuity constraint is implemented using DP approach^{[35](#page-37-9)[,36](#page-38-0)} 215 ²¹⁶ with the Euclidean distance as an objective measure. The objective function involved in the ²¹⁷ DP approach is given by

$$
\hat{p}_t = \underset{p_t; t \in \mathcal{F}}{\arg \min} \sum_{t} (p_t - p_{t-1})^2
$$
\nsuch that

\n
$$
\hat{p}_t = p_t^{k^{opt}} \ \forall \ t \in \text{non-DP frames}
$$
\n(5)

218 where $\mathcal F$ is a set of frames in a voiced region. The detailed algorithmic steps for solving [\(5\)](#page-11-0) ²¹⁹ are provided in Algorithm [1.](#page-13-0)

²²⁰ III. DATABASE

²²¹ We use KEELE^{[28](#page-37-2)}, CSLU^{[29](#page-37-3)}, and PaulBaghsaw (PB)^{[30](#page-37-4)} corpora for all experiments in this work. Table [I](#page-15-0) shows the details of the three corpora and the number of recordings considered in our experiments. In the experiments, we consider only the sentences belonging to both the male and the female subjects from all three corpora and exclude the sentences belonging to the children. In all three corpora, each spoken utterance has been recorded simultaneously with a laryngograph signal, which is used to compute the reference pitch considered as the ground truth. KEELE database consists of utterances from five male, five female and five children speakers reading "The North wind story". CSLU database consists of 50 phonetically rich sentences spoken by seven male and five female speakers. These ²³⁰ sentences have been collected from the TIMIT and Harvard Psychoacoustic corpora^{[37](#page-38-1)}. Each speaker has uttered every sentence in three different contexts. PB database consists of 50 sentences spoken by one male and one female speakers.

| | | KEELE CSLU | | PB |
|------------------------------|------------|-------------------|------|-----------|
| Number of | Overall | 15 | 1800 | 100 |
| sentences | considered | 10 | 1800 | 100 |
| | male | 5 | 7 | 1 |
| Number of speakers | female | 5 | 5 | 1 |
| | children | 5 | | |
| Availability of laryngograph | | Yes | Yes | Yes |

TABLE I. Details of the three corpora used in the experiments in this work

²³³ IV. EXPERIMENTAL RESULTS

²³⁴ A. Experimental setup

²³⁵ We compare the performance of the proposed FSDP for pitch estimation and VuV classi-²³⁶ fication with four existing methods (SHR, SWIPE, RAPT and PEFAC) using speech signal ²³⁷ in clean condition and in additive white Gaussian noise in four SNR conditions: 20, 10, 5 ²³⁸ and 0dB. KEELE, CSLU and PausBaghsaw (PB) corpora have been used for this purpose. ²³⁹ Among the four existing methods, Matlab implementations of the four methods, namely, 240 SHR, SWIPE, RAPT and PEFAC are directly available^{[38](#page-38-2)[–40](#page-38-3)} and are used for the compar- $_{241}$ ison. The gross pitch error (GPE-20) and root mean squared error $(RMSE)^{14}$ $(RMSE)^{14}$ $(RMSE)^{14}$ are used as ²⁴² the metrics for comparing the performance of pitch estimation using different methods. The GPE-20 is computed as $100 \times \frac{N_{err}}{N}$ ²⁴³ GPE-20 is computed as $100 \times \frac{N_{err}}{N_v}$, where, N_{err} is the total number of erroneous frames, in ²⁴⁴ which the estimated pitch values fall outside $\pm 20\%$ of the ground truth pitch value and N_v ²⁴⁵ is the total number of voiced frames. Ground truth pitch is computed from the laryngo-²⁴⁶ graph signal available with individual corpus. Both GPE-20 and RMSE are computed by ²⁴⁷ discarding the estimated pitch at the boundary frames (first and last frame) in every voiced 248 segment. The parameters K and r are learnt using ground truth VuVs separately for each ²⁴⁹ corpus from randomly chosen 20% data in clean condition among which 75% of the data is 250 used for the training and the remaining used for the development. Among K and r , first, we 251 obtain the best K which results in the least GPE-20 error on the entire 20% data. Then, the 252 best r is learnt using the best K considering the errors computed on the development set. ²⁵³ The parameters corresponding to the least GPE-20 error on a corpus are used to estimate ²⁵⁴ pitch within the corpus and across several other corpora in clean and noisy conditions using ²⁵⁵ estimated VuVs to examine the generalizability of the proposed method.

²⁵⁶ The performance of VuV classification using different methods are compared using the $_{257}$ classification error^{[41](#page-38-4)}. We use SVM classifier with RBF kernel for the classification task with 258 the complexity parameter (C) equal to 1.0 and with kernel coefficient (γ) equal to 1/number

 $_{259}$ of features. SVM classifier is implemented using Scikit-learn^{[42](#page-38-5)}. We train the SVM using a training set identical to that for learning the parameters in the pitch estimation task. These trained SVM models are used to estimate VuV decisions within the corpus and across the corpora in clean and noisy conditions. During comparison, we use readily available VuV decisions from all four existing methods except SWIPE for which classification error is not reported.

 The performance of the proposed FSDP method depends on the accuracies in the es- timation of DP & non-DP frames and VuV decisions. To understand the effect of each of these factors on the overall performance, we present the results in three sub-sections in Section [IV B.](#page-19-0) Section [IV B 1](#page-19-1) discusses the pitch estimation accuracy with ground truth DP $\&$ non-DP frames and VuV decisions. Section [IV B 2](#page-20-0) discusses the effect of estimated DP $&$ non-DP frames on the overall performance. Similarly, Section [IV B 3](#page-21-0) explains the effect of $_{271}$ estimated DP & non-DP frames and VuV decisions. Following this, we analyze the reasons for a better performance using the proposed FSDP methods over four baseline schemes in ²⁷³ two sub-sections – [IV B 4](#page-23-0) and [IV B 5.](#page-26-0) For this analysis in Section IV B 4 and [IV B 5,](#page-26-0) the $_{274}$ benefit of FSDP are highlighted by comparing with SHR & SWIPE and with RAPT & PEFAC respectively. Note that, the performance of the proposed method also depends on the accuracy of the pitch candidates and their confident scores, which is discussed in Section [IV B 6.](#page-28-0) Finally, in Section [IV B 7,](#page-32-0) we present the accuracy of VuV classification.

TABLE II. GPE-20 obtained using the FSDP with ground truth DP and non-DP frames. A bold entry for a corpus and noise condition indicates the least GPE-20 among different K.

TABLE III. GPE-20 obtained using the FSDP within and across all the three corpora using corpus

| | | FSDP | | | |
|-------|-----------------------|--------------|-------------|-----------|--|
| | | KEELE | CSLU | PB | |
| | KEELE | 0.79 | 1.04 | 1.17 | |
| clean | CSLU | 1.61 | 1.52 | 1.74 | |
| | PB | 1.49 | 1.45 | 1.36 | |
| | KEELE | 1.12 | 1.15 | 1.24 | |
| 20dB | CSLU | 1.65 | 1.57 | 1.79 | |
| | PB | 1.45 | 1.49 | 1.36 | |
| | KEELE | 1.56 | 1.60 | 1.67 | |
| 10dB | CSLU | 2.01 | 2.02 | 2.15 | |
| | PB | 2.03 | 2.02 | 1.92 | |
| | KEELE | 2.91 | 2.73 | 2.93 | |
| 5dB | CSLU | 2.73 | 2.75 | 2.87 | |
| | PB | 3.61 | 3.37 | 3.21 | |
| 0dB | KEELE | 6.90 | 6.48 | 6.59 | |
| | CSLU | 4.78 | 4.73 | 4.88 | |
| | PB | 7.57 | 6.88 | 6.42 | |

specific parameters K and r learnt on the development set.

 1. GPE-20 using FSDP with ground truth DP 68 non-DP frames and VuV deci- $_{280}\quad sions$

 Frame selection is one of the key components in the proposed FSDP approach. An error in frame selection causes errors in pitch values estimated using FSDP. Hence, we first compute the GPE-20 using FSDP where we use the ground truth DP and non-DP labels and VuV decisions (i.e., no errors due to either automatic frame selection or VuV classification). This could be used as the lower bound on the GPE-20 of the FSDP scheme. Table [II](#page-18-0) shows these GPE-20 values computed on entire data from three corpora under clean and all noisy ²⁸⁷ conditions for $K \in \{n; 2 \le n \le 4\}$. It is clear from Table [II](#page-18-0) that the least GPE-20 increases with decreasing SNR. It also varies across different corpora. From the table, it is observed ²⁸⁹ that the best K (corresponding to the least GPE-20) is 3 in clean, 20dB and 10dB SNR conditions and 4 in 0dB SNR conditions for KEELE and CSLU corpora. For PB, the best $_{291}$ K is found to be 4 in clean and all noisy conditions. This indicates that the best K varies even within a corpus in clean and all noisy conditions; it also varies across three corpora. 293 However, we consider the best K obtained in clean condition for each corpus to find the $_{294}$ best choice of parameter r for NN based frame selection strategy.

295 2. GPE-20 using FSDP with estimated DP \mathcal{B} non-DP frames and ground truth VuV decisions

 The best choice of r is obtained for the frame selection strategy separately for each corpus using ground truth VuV decisions. We find the best choice of the parameter $r \in \{1+2n; 0 \le n \le 12\}$ on the development set for clean condition using GPE-20. The 300 parameters K and r corresponding to the minimum GPE-20 are found to be $(3 \text{ and } 1), (3 \text{)}$ and 21) and (4 and 1) for KEELE, CSLU and PB corpora respectively. From the optimal choice of r, it is observed that the parameter value changes in a corpus dependent manner.

 Table [III](#page-18-1) shows GPE-20 values on the entire data from all three corpora separately in clean and noisy conditions using K and r learnt for each corpus. In the table, each column indicates the corpus that is used for optimizing the parameters. It should be noted that the parameters are optimized for clean conditions. The diagonal entries (shaded regions in every 3×3 sub tables in Table [III\)](#page-18-1) indicate the GPE-20 values within the corpus (matched development and test corpora) and the off-diagonal values indicate errors across corpora (mis-matched development and test corpora). Bold entry for each corpus (every row) in Table [III](#page-18-1) indicates the least GPE-20 value among all columns, which indicates the best development set. From the table, it is interesting to observe that the least errors are not confined to diagonal entries only, particularly at low SNR.

TABLE IV. Comparison of pitch estimation and VuV classification performance of RAPT, PEFAC, SHR, SWIPE and FSDP. The performance of different methods is compared using GPE (%) and RMSE $(\%)$.

| | | KEELE | | | CSLU | | PB | | | |
|-------|-------------------------------|---------------|-------|-----------------------------------|-----------------------|-------|---|----------|-------------|--------------------------|
| | | $GPE-20$ RMSE | | VuV | $GPE-20$ RMSE | | $\ensuremath{\text{Vu}}\xspace \ensuremath{\text{V}}$ | $GPE-20$ | RMSE | VuV |
| Clean | RAPT | 2.85 | 17.27 | 8.99 | 4.39 | 20.85 | 6.77 | 2.24 | 34.93 | 10.40 |
| | PEFAC | 12.16 | 41.21 | 12.68 | 5.93 | 27.99 | 10.12 | 3.39 | 15.79 | 9.32 |
| | SHR | 1.73 | 13.16 | 12.72 | 2.63 | 17.12 | 13.51 | 1.93 | 11.14 | 8.13 |
| | $\ensuremath{\mathrm{SWIPE}}$ | $4.31\,$ | 21.69 | $\overline{}$ | 3.41 | 22.34 | $\overline{}$ | 2.52 | 15.95 | $\overline{}$ |
| | FSDP | 0.89 | 8.90 | 6.43 | 1.65 | 13.31 | 5.91 | 1.50 | 9.01 | 7.29 |
| | RAPT | 4.07 | 21.18 | 6.30 | 5.12 | 24.99 | 4.43 | 3.94 | $16.12\,$ | 7.49 |
| | PEFAC | 12.35 | 46.58 | 12.81 | 6.09 | 28.26 | 10.31 | 3.39 | 16.17 | 9.25 |
| | SHR | 1.87 | 12.95 | 8.22 | 2.73 | 17.54 | 5.82 | 1.99 | 11.56 | 6.54 |
| 20dB | SWIPE | 4.68 | 21.94 | $\overline{}$ | 3.78 | 22.63 | \equiv | 2.83 | 16.99 | $\overline{}$ |
| | $\ensuremath{\mathsf{FSDP}}$ | 1.17 | 9.91 | 6.12 | 1.69 | 13.54 | 5.45 | 1.48 | 8.85 | 6.51 |
| | RAPT | 16.48 | 35.51 | 9.46 | 14.81 | 34.37 | 6.31 | 16.19 | 27.31 | 7.75 |
| | PEFAC | 11.91 | 41.13 | 13.79 | 6.56 | 28.28 | 11.19 | 3.72 | 16.02 | 10.02 |
| | SHR | 2.50 | 14.97 | 15.01 | 3.42 | 19.31 | 11.07 | 2.46 | 12.08 | 9.05 |
| 10dB | SWIPE | 8.12 | 28.56 | $\overline{}$ | 6.02 | 26.44 | \equiv | 4.42 | 21.02 | |
| | FSDP | 1.59 | 11.39 | 7.09 | 2.05 | 14.56 | 5.58 | 2.00 | 9.60 | 5.87 |
| | RAPT | 31.70 | 53.35 | 17.03 | 24.07 | 46.78 | 10.94 | 25.39 | 42.36 | 10.47 |
| | PEFAC | 12.69 | 39.47 | 15.00 | 7.24 | 28.10 | 12.11 | 4.58 | 17.22 | 11.01 |
| 5dB | SHR | 4.24 | 18.28 | 26.48 | $4.59\,$ | 21.50 | 21.42 | 3.87 | 14.45 | 17.17 |
| | SWIPE | 15.07 | 39.10 | $\hspace{1.0cm} - \hspace{1.0cm}$ | 10.72 | 33.62 | $\overline{}$ | 8.09 | 28.42 | |
| | FSDP | 2.79 | 13.43 | 9.73 | 2.79 | 16.01 | 6.82 | 3.39 | 12.12 | 6.69 |
| 0dB | RAPT | 59.77 | 75.76 | 30.62 | 48.67 | 69.38 | 23.21 | 51.63 | 69.01 | 20.07 |
| | PEFAC | 14.65 | 37.73 | 16.90 | 8.53 | 28.28 | 13.41 | 6.38 | 19.28 | 12.00 |
| | ${\rm SHR}$ | 8.26 | 23.99 | 41.10 | 7.52 | 26.23 | 36.24 | 7.90 | $20.38\,$ | 28.27 |
| | SWIPE | 30.68 | 55.55 | | 23.33 | 48.80 | | 20.99 | 45.80 | |
| | FSDP | 6.56 | 18.81 | 16.26 | 4.77 | 19.15 | 10.61 | 6.91 | 17.18 | 10.87 |

313 3. Comparison of GPE-20 and RMSE from FSDP and baseline schemes

 Once the corpus and the SNR for a given test utterance is known, an accurate pitch contour could be achieved by using the parameters $(K \text{ and } r)$ corresponding to the least GPE-20 values (marked in bold) in Table [III.](#page-18-1) However these corpus dependent parameters and the corresponding GPE-20 values might not be generalizable for unseen data. So, it

 may not be fair to compare these corpus and SNR specific GPE-20 values with the GPE-20 values computed using four baseline methods across all corpora and SNR conditions. Hence in FSDP, we consider one parameter set for frame selection strategy across all corpora and SNR conditions. This parameter set corresponds to the least GPE-20 value on the entire data among all corpora and SNR conditions (marked in blue in Table [III\)](#page-18-1). K and r in this 323 parameter set are found to be the ones learnt on KEELE, i.e., $K=3$ and $r=1$. Using these 324 parameters, we estimate VuV decisions using the SVM model learnt on KEELE with $K=3$. Following this, GPE-20 and RMSE are computed for all three corpora.

 Table [IV](#page-21-1) shows the GPE-20 and RMSE values obtained on the three corpora using the proposed FSDP and four baseline methods (RAPT, PEFAC, SHR and SWIPE) at various noisy levels and clean condition.In addition, we consider all frames as DP frames (i.e., no frame selection) in Equation 5 and compute the GPE-20 and RMSE to analyze the benefit of frame selection in FSDP scheme. However, pitch estimation using all frames as DP frames results in very poor performance; hence not reported in the table. The best performance for each metric is indicated in bold for each corpus and SNR condition. From the table, it observed that the proposed FSDP performs better than baseline methods for all three corpora in clean and all SNR conditions except at 0dB SNR in PB corpus (GPE-20 value), at which PEFAC performs better than FSDP. When averaged across clean and all noisy conditions, FSDP achieves the least average GPE-20 and RMSE errors (2.60 and 12.49, 2.59 and 15.31, 3.06 and 11.35) followed by SHR (3.72 and 16.67, 4.18 and 20.34, 3.63 and 13.92) for KEELE, CSLU and PB respectively. This implies that the strategies of SHR and SWIPE are complementary in nature and, when combined for computing pitch candidates and their confidence-scores as in FSDP, they achieve better pitch estimation accuracy compared to ³⁴¹ the individual ones in most of the cases. The improvement in the performance of FSDP over the four baseline methods is analyzed separately in two following subsections by comparing with -1) SHR and SWIPE (the variants of which have been used in FSDP) 2) RAPT and PEFAC (DP based methods).

FIG. 4. Illustrative example describing the benefit of FSDP over SHR and SWIPE in a voiced segment. Red boxes 1, 2, 3, 4 and 5 are used to indicate the significant variations in the estimated pitch from the ground truth pitch. Red and blue horizontal patches indicate DP and non-DP frames respectively.

4. Comparison with SHR and SWIPE

 Figure [4a](#page-23-1) and [4b](#page-23-1) show the estimated pitch trajectories for an exemplary voiced segment taken from the KEELE database in clean and noisy (SNR 0dB) conditions respectively. In TABLE V. Comparison of erroneous frames (%) for both DP and non-DP categories obtained from FSDP in clean and all noisy conditions for all three corpora. All the percentages for each corpus are computed with respect to the total number of voiced frames

| | | estimated | | ENDPFs | EDP NDPFs |
|----------------------|----------------|-----------|--|---------------|------------|
| | | DP frames | | | (ENDPF's) |
| KEELE CSLU | $_{\rm clean}$ | 0.89 | 0.25 | 0.65 | 0.02(0.01) |
| | 20dB | 0.98 | 0.25 | 0.92 | 0.02(0.01) |
| | 10dB | 1.26 | 0.32 | 1.27 | 0.04(0.03) |
| | 5dB | 2.08 | 0.46 | 2.33 | 0.12(0.10) |
| | 0dB | 2.64 | 0.74 | 5.82 | 0.34(0.28) |
| | clean | 0.70 | 0.17 | 1.48 | 0.03(0.02) |
| PB | 20dB | 0.77 | 0.17 | 1.52 | 0.03(0.02) |
| | 10dB | 1.05 | 0.22 | 1.84 | 0.05(0.04) |
| | 5dB | 1.46 | 0.26 | 2.53 | 0.05(0.04) |
| | 0dB | 2.48 | EDPFs 0.44 0.06 0.09 0.24 0.41 0.70 | 4.33 | 0.18(0.13) |
| | $_{\rm clean}$ | 1.15 | | 1.43 | 0.00(0.00) |
| | 20dB | 1.29 | | 1.38 | 0.00(0.00) |
| | 10dB | 1.79 | | 1.76 | 0.00(0.00) |
| | 5dB | 1.92 | | 2.98 | 0.03(0.02) |
| | 0dB | 2.93 | | 6.21 | 0.26(0.22) |

³⁴⁸ box-1 all methods estimate pitch correctly except the SHR. This indicates that original pitch ³⁴⁹ could be one of the pitch candidates in SHR, but the selection criteria used in SHR has led ³⁵⁰ to wrong estimation of the pitch. In box-2, where the ground truth pitch has large variation, ³⁵¹ the proposed FSDP estimates pitch more accurately compared to all other methods. The ³⁵² SWIPE estimates wrongly at most of the points, which could be due to the large amount of ³⁵³ errors in SWIPE when the actual pitch has wide variations. This could be because SWIPE ³⁵⁴ considers many pitch candidates for estimating the pitch. SHR has better pitch estimates ³⁵⁵ than those of SWIPE but worse than those of FSDP. When ground truth pitch has wide ³⁵⁶ variation, we observe that the estimates of the pitch candidates and their confidence-scores ³⁵⁷ become less reliable. This causes the SHR and SWIPE to result in octave errors. We

 also observe that such unreliable frames often get classified as DP frames using the nearest neighborhood strategy. Since the DP in the proposed scheme does not directly use the confidence-scores of the pitch candidates in DP frames and rather uses estimated pitch from neighboring non-DP frames to compensate the octave errors, the accuracy in the estimated pitch in these unreliable frames improves by using FSDP.

 From Figure [4b](#page-23-1), it is observed that the estimation errors are more in 0dB SNR compared to the clean condition for all the methods. This observation is consistent with the overall ₃₆₅ performance degradation in Table [IV](#page-21-1) from clean to 0dB SNR condition. The performance degradation of FSDP could be due to two reasons. The first reason is that the estimated DP frames are more (2 in Figure [4a](#page-23-1) and 4 in Figure [4b](#page-23-1), as highlighted using red horizontal patches) in case of 0dB SNR than in the clean condition. Higher number of DP frames could cause a smooth pitch trajectory even in frames with large ground truth pitch variations, and thereby resulting in a lower performance at 0dB SNR. The percentage of such DP frames that cause errors in the pitch estimation, called erroneous DP frames (EDPFs), are listed in the fourth column of Table [V](#page-24-0) across all three corpora in clean and all noisy conditions. From the table, it is observed that the DP frames and EDPFs increase from clean to 0dB SNR condition for all three corpora. This implies that more DP frames result in more EDPFs, and, hence, the performance could degrade from clean to 0dB SNR.

 The second reason for poor performance of FSDP in low SNR condition could be a large number of non-DP frames which result in pitch estimation errors at 0dB SNR, called erroneous non-DP frames (ENDPFs), (0 in Figure [4a](#page-23-1) and 15 in Figure [4b](#page-23-1)). In the entire 379 set of ENDPFs, a subset of ENDPFs, indicated as ENDPF' (13-th, 15-th and 31-st frames in Figure [4b](#page-23-1)), introduces pitch estimation errors in the neighboring DP frames due to the smoothing constraint in DP. For illustration, consider the 31-st frame marked in gray circle in Figure [4b](#page-23-1) in the box-5. This frame is classified as a non-DP frame (but it is ENDPF) by the nearest neighborhood frame selection strategy. Because of this, FSDP estimates the pitch values incorrectly at the neighboring DP frame (32-nd) in box-5 by following a 385 wrong smooth trajectory. ENDPFs and ENDPF' are listed in the fifth and sixth column (in brackets) of Table [V.](#page-24-0) The percentage of such DP frames that results in pitch estimation 387 errors due to ENDPF's (indicated as EDP_NDPFs) are listed in the sixth column of Table [V.](#page-24-0) From the table, it is observed that EDP_NDPFs are more than ENDPF's for all three corpora. This indicates that the number of frames with estimated erroneous pitch is more for 390 every ENDPF' than that for every remaining ENDPFs. From the table, it is also observed 391 that ENDPFs as well as ENDPF's gradually increase from clean to 0dB SNR for all three corpora. Hence the additional pitch estimation errors by the ENDPFs along with pitch estimation errors by the EDPFs could result in further performance degradation. These observations from EDPFs and ENDPFs are consistent with the performance degradation of FSDP in Table [IV](#page-21-1) for all three corpora.

5. Comparison with RAPT and PEFAC

³⁹⁷ From Table [IV,](#page-21-1) it is observed that the GPE-20 of RAPT varies largely from clean to 0dB SNR condition compared to all other methods for all three corpora. This observation $_{399}$ is consistent with the experimental findings by Gonzalez and Brookes^{[12](#page-35-4)}. The worst perfor-mance of RAPT at 0dB SNR could be due to the increase in incorrect pitch candidates by NCCF. PEFAC performs worse in the clean case but better in the noisy case compared to RAPT. This is because it was designed specifically for noisy signal with low SNRs. However, FSDP performs better in both clean and noisy conditions in almost all cases. This superior performance could be because FSDP performs DP only in the selected frames with few pitch 405 candidates (optimal $K = 3$) using a few parameters $(K \text{ and } r)$.

FIG. 5. Illustrative part of the voiced segment used in Figure [4](#page-23-1) describing $- a$) the benefit of FSDP over RAPT and PEFAC b) the benefit of DP and non-DP frames in FSDP. The segment within red rectangular box in Figure [5a](#page-27-0) is shown in Figure [5b](#page-27-0). The dotted black and magenta lines in Figure [5b](#page-27-0) indicate the estimated pitch trajectories when 40-th, 41-st and 42-nd frames are all DP frames and non-DP frames respectively.

 Figure [5a](#page-27-0) shows the pitch trajectories obtained using RAPT, PEFAC and FSDP in clean condition for an exemplary voiced segment used in Figure [4.](#page-23-1) From Figure [5a](#page-27-0), it is observed that PEFAC estimates incorrect pitch in the region, indicated by the red box in the figure, could be due to wrong pitch candidates in the highlighted region that result in a smooth trajectory away from the ground truth pitch. In the same region, RAPT estimates wrong pitch due to large deviations away from the ground truth pitch. However these errors are compensated in FSDP by using two different strategies in DP and non-DP frames – DP frames (40-th and 41-st) minimize the transitions and non-DP frames (38-th, 39-th, 42- nd, 43-th) allow pitch transitions without any smoothness constraint. Thus, the proposed FSDP allows pitch transitions as well as pitch smoothness in the right proportion using frame selection strategy thereby achieving better pitch estimation accuracy.

⁴¹⁷ We elaborate these benefits with the help of Figure [5b](#page-27-0), where, in addition to the pitch trajectory using FSDP, two other hypothetical trajectories (dotted-black and magenta) are shown when 40-th, 41-st and 42-nd frames are all assumed to be DP frames and non-DP frames respectively. It is clear that both trajectories suffer from pitch error either due to smoothness constraint (in 42-nd frame when all are assumed to be DP frames) or due to confidence-score maximization criterion (in 40-th and 41-st frames when all are assumed to be non-DP frames). However, providing smoothness constraint only in selected DP frames (as done in FSDP) results in an accurate pitch trajectory.

6. FSDP error analysis

 Overall, pitch estimation errors using FSDP depend on the strategies used in DP and non- DP frames as well as the accuracy of the pitch candidates and their confidence-scores. We categorize these errors into three types. -1) Absence of RPCs as pitch candidate selection strategy fails to detect them, 2) Estimated confidence-score associated with non-RPCs is the highest among all candidates (even when RPCs are present) due to the errors in candidate confidence-score estimation in the non-DP frames, 3) Selecting non-RPCs as the estimated TABLE VI. Comparison of the number of GPE-20 frames belonging to three different types of errors

occurred with different pitch candidates in clean and all noisy conditions for all three corpora.

⁴³² pitch (even when RPCs are present) because of errors due to smoothing constraint using ⁴³³ DP in DP frames.

 Table [VI](#page-29-0) shows the percentage of GPE-20 frames belonging to these three types of errors 435 in FSDP for $K = 2,3,4$ in clean and all noisy conditions for all three corpora. From the table it is observed that the errors due to the absence of RPCs are significant in most of the cases (especially at 5dB and 0dB SNR conditions for all three corpora). The errors due to the absence of RPCs are crucial in the proposed FSDP, since they determine the pitch estimation errors when there is no error in both frame selection strategy and pitch estimation strategy at DP and non-DP frames. We investigate the reason for this error in 441 detail with the help of Figure [6](#page-30-0) with $K=2$ using $S_t(f)$ for two exemplary voiced frames from the KEELE database. In the figure, the ground truth pitch frequency is indicated in green and the estimated pitch candidates are indicated in red. From Figure [6a](#page-30-0), it is observed that the ground truth pitch frequency is closer to one of the pitch candidates. Hence, FSDP estimates the pitch accurately by choosing the correct pitch candidate. However in Figure [6b](#page-30-0), both pitch candidates are far from the ground truth pitch which implies that the pitch candidate selection fails to estimate the RPCs. Hence FSDP fails to estimate the correct pitch. This underlines the importance of the pitch candidate selection method.

FIG. 6. Illustrative examples describing the importance of the pitch candidate selection method for $K = 2$ in which – a) the RPC is present b) the RPC is absent in the estimated pitch candidates

 $\frac{449}{449}$ From Table [VI,](#page-29-0) it is also observed that the errors due to the absence of RPCs reduce with $\frac{450}{450}$ increasing K for all SNRs and three corpora. This suggests that using more number of pitch ⁴⁵¹ candidates can reduce those errors. This could be because the search range of candidate 452 selection method depends on K. Hence the RPCs which are missed with a low value of K 453 can be detected with a high value of K. However, a high value of K does not guarantee a ⁴⁵⁴ better pitch estimation accuracy due to increase in the second and third categories of error 455 even when RPCs are present. This is also supported by the fact that the optimal K is found ⁴⁵⁶ to be lower than 4 for all three corpora. Specifically, from Table [VI,](#page-29-0) it is observed that the second type of errors consistently increases with K in clean and all SNR conditions for three corpora in almost all cases. This could be because the ambiguity in associating the $_{459}$ maximum confidence-score with the RPCs increases with increasing in K. Hence, the pitch estimation method at non-DP frames fails to estimate the RPCs as a pitch.

 The second and third categories of errors depend on the accuracy of the frame selec- tion strategy and pitch estimation at DP and non-DP frames. We investigate these errors $\frac{463}{10}$ in the proposed FSDP considering three different choices of DP and non-DP frames -1) ground truth DP and non-DP frames, 2) estimated DP and non-DP frames using the nearest neighborhood 3) all frames as non-DP frames (to highlight the importance of DP frames). We find the sum of second and third types of errors as 0.40, 1.01 and 1.04 respectively for the above mentioned three choices, when averaged across all five SNRs and all three corpora. Note that, considering all frames as DP frames results poor performance, hence not reported. For computing these errors, the parameters of the proposed FSDP are kept identical to those used in Table [IV](#page-21-1) for all three corpora. It is observed that the average errors increase (monotonically) from the first choice to the third choice. The non-zero error in the first choice indicates that the errors are only due to incorrect pitch estimation at some of DP and non-DP frames. A higher error in the second choice compared to the fist choice indicates combined effect of the errors caused by the frame classification strategy and pitch estimation methods at DP and non-DP frames. Similarly, the highest error in the third choice indicates that the pitch estimation errors due to the errors in the frame selection strategy are less than those due to the pitch estimation strategy.

 $\frac{478}{478}$ 7. VuV classification errors

 Table [IV](#page-21-1) shows the VuV classification errors computed using FSDP and four baseline methods for all three corpora. In the table, a bold entry for a given corpus and SNR combination indicates the lowest VuV classification error. From the table, it is observed that the proposed FSDP has the least VuV error in clean and all noisy conditions on all three corpora except at 20dB SNR on CSLU corpus, where RAPT has the least VuV error. This indicates that the proposed FSDP method performs better than the four baseline schemes both in the pitch estimation and VuV classification tasks. It is interesting to notice that no single baseline scheme has consistently performed the best among all the baseline schemes across all noisy conditions on three corpora.

488 V. CONCLUSIONS

 Realistic pitch trajectories are typically smooth in nature, but sometime they show large variation in pitch values in a short span of time. In this work, we propose FSDP approach for pitch estimation, which allows the estimated pitch trajectory to be smooth using DP only in a few selected frames (called DP frames) unlike a typical DP based method which forces the trajectory to be smooth over an entire voiced segment. In the remaining frames (called non-DP frames), FSDP approach allows large variation in the estimated trajectory by estimating pitch using a pitch candidate confidence-score maximization criterion where the candidates and their confidence-scores are computed using variants of SHR and SWIPE. These confidence-scores are used to automatically identify DP and non-DP frames. These confidence-scores are also used for VuV classification using an SVM classifier. Experiments with three corpora namely, KEELE, CSLU and PaulBaghsaw reveal that FSDP performs better than four baseline methods considered in this work for pitch estimation as well as VuV classification tasks.

 The performance of the proposed FSDP method depends on the percentage of missing RPCs, reliability in estimating the pitch candidate confidence-scores, classification accuracy of DP and non-DP frames and effectiveness of smoothening constraint used in DP. Among all the errors, the percentage of missing RPCs is found to be crucial, since these errors determine the lower bound on the pitch estimation errors by the proposed method. Hence, further investigation is required to reduce the missing RPCs with an appropriate candidate selection strategy. In addition to this, the frame selection strategy needs to be improved. Most of the errors in the nearest neighborhood based frame selection strategy is due to the misclassification of DP and non-DP frames. Also, the computational cost involved in the $_{511}$ frame selection strategy is quite high. This is because the nearest neighborhood classifier in the frame selection strategy computes a distance for each frame with all training samples. The training set for the DP and non-DP frame classification is also found to be imbalanced with a ratio of 1:100. Hence, a noise robust classifier with less computational complexity under large imbalanced training set would be effective.

516 VI. REFERENCES

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